

# CERTIFICATION OF BENEFICIAL OWNER(S)

The information contained in this Certification is sought pursuant to Section 1020.230 of Title 31 of the United States Code of Federal Regulations (31 CFR 1020.230).

## SECTION I

All persons opening an account on behalf of a legal entity must provide the following information:

1. Last Name and title of Natural Person Opening Account	2. First Name	3. Middle Initial	
4. Name and type of Legal Entity for Which the Account is Being Opened			
5. Legal Entity Address	6. City	7. State	8. ZIP/Postal Code

## SECTION II

Please provide the following information for an individual with significant responsibility for managing or directing the entity, including, an executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or Any other individual who regularly performs similar functions.

1. Last Name	2. First Name	3. M.I.	4. Date of birth <small>(MM/DD/YYYY)</small>
5. Address	6. City	7. State	8. Zip Code
9. Country	10. SSN (U.S. Persons)	11. For Non-U.S. persons (SSN, Passport Number or other similar identification number)	
		11a. Country of issuance:	

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguards.

## SECTION III

(To add additional individuals, see page 2)

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise owns 25% or more of the equity interests of the legal entity listed above. **Check here  if no individual meets this definition and complete Section II.**

1. Last Name	2. First Name	3. M.I.	4. Date of birth <small>(MM/DD/YYYY)</small>
5. Address	6. City, ST	7. Zip Code	8. Ownership %
9. Country	10. SSN (U.S. Persons)	11. For Non-U.S. persons (SSN, Passport Number or other similar identification number)	
		11a. Country of issuance:	

I, \_\_\_\_\_ (name of person opening account), hereby certify, to the best of my knowledge, that all of the information provided is complete and correct.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
(MM/DD/YYYY)

Legal Entity Identifier (Optional) \_\_\_\_\_

**Additional Section III - Second Beneficial Owner (If required)**

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise owns 25% or more of the equity interests of the legal entity listed above.

12. Last Name		13. First Name	14. M.I.	15. Date of birth (MM/DD/YYYY)
16. Address		17. City, ST	18. Zip Code	19. Ownership %
20. Country	21. SSN (U.S. Persons)	22. For Non-U.S. persons (SSN, Passport Number or other similar identification number)		
		22a. Country of issuance:		

**Additional Section III - Third Beneficial Owner (If required)**

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise owns 25% or more of the equity interests of the legal entity listed above.

23. Last Name		24. First Name	25. M.I.	26. Date of birth (MM/DD/YYYY)
27. Address		28. City, ST	29. Zip Code	30. Ownership %
31. Country	32. SSN (U.S. Persons)	33. For Non-U.S. persons (SSN, Passport Number or other similar identification number)		
		33a. Country of issuance:		

**Additional Section III - Fourth Beneficial Owner (If required)**

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise owns 25% or more of the equity interests of the legal entity listed above.

34. Last Name		35. First Name	36. M.I.	37. Date of birth (MM/DD/YYYY)
38. Address		39. City, ST	40. Zip Code	41. Ownership %
42. Country	43. SSN (U.S. Persons)	44. For Non-U.S. persons (SSN, Passport Number or other similar identification number)		
		44a. Country of issuance:		

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.